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HER-2 and TME Dual Targeting Shikonin-Loaded Nanomicelle for Anti-Breast Cancer Treatment

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Abstract

Objectives: Several Chinese traditional medicines have been used in chemotherapy, with Shikonin receiving significant attention for its effective anti-tumor properties. However, its low solubility and lack of precise targeting in vivo have limited its biological efficacy, hindering its clinical application. This study focuses on the preparation of Shikonin-loaded Dual targeting Nanomicelles (SDN) that leverage antibody-antigen interactions and tumor microenvironment responsiveness. The anti-Human Epidermal growth factor Receptor-2 antibodies (anti-Her-2) attached to the surface of SDN can effectively target Her-2, a protein that is overexpressed in SKBr-3 cells and BT-474 cells. On the other hand, in response to a slightly higher temperature in tumor tissue, SDN can undergo a phase transition, potentially facilitating endocytosis due to increased hydrophobicity. In this study, we investigate the anti-tumor effects of SDN on in vitro and in vivo breast cancer models with high expression of her-2 positive.

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Yong-Hua Su, Department of Dermatology, Yueyang Hospital of Integrated Traditional Chinese and Western Medicine, Shanghai University of Traditional Chinese Medicine, China, Chang-Quan Ling, Naval Medical University (Second Military Medical, University), 200433, Shanghai, China, Received Date: 29 Apr 2024 Accepted Date: 22 May 2024 Published Date: 30 May 2024 Methods: To enhance targeted delivery of shikonin to tumor sites, we developed a Nanodrug Delivery System (SDN), characterized it, and used it to treat BT-474 and SKBR-3 cell lines. Cell proliferation was observed through CCK8 experiments. Acute toxicity experiments involved tail vein injections to assess the potential toxic and side effects of SDN on mouse organs, evaluating its safety. The anti-tumor efficacy of SDN was validated using a BT-474 mouse subcutaneous tumor model.

Results: SDN exhibited a complete core-shell spherical shape with excellent serum stability. It demonstrated significant inhibition of HER-2-positive cell proliferation. Acute toxicity experiments indicated improved safety of SDN with reduced organ damage. In vivo tumor inhibition experiments revealed that SDN outperformed traditional shikonin in tumor suppression.

Conclusion: By developing Shikonin-loaded Dual targeting Nanomicelles (SDN), this study addressed the limitations of traditional shikonin such as poor water solubility and low targeting efficiency. The enhanced anti-tumor efficacy and biosafety of shikonin warrant further investigation.

Keywords: Shikonin; Nanomicelle; Dual-targeting; Anti-tumor; Safety

Introduction

Breast cancer is a prevalent form of malignant tumors among women worldwide [1,2], ranking as the sixth leading cause of female cancer-related deaths in China [3]. Surgery is typically the primary treatment in the early stages of breast cancer, often accompanied by adjuvant therapies. In advanced stages, chemotherapy or radiotherapy are commonly utilized; however, these treatments may yield unsatisfactory outcomes in certain cases [4-7].

Shikonin, a natural naphthalene compound isolated from traditional Chinese medicine, has been found to possess anti-cancer, antiviral, and anti-inflammatory properties [8]. Since the publication of the first research report by Hashimoto et al. [9], there has been a growing interest in utilizing Shikonin for cancer treatment. Recent studies have investigated the anti-tumor effect of Shikonin on various tumor models [10-13], providing valuable insights into its mechanism of action.

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Shikonin has demonstrated significant effectiveness in inhibiting the growth and metastasis of breast cancer [10], successfully prolonged animal survival time and reduced tumor size by using Shikonin in combination with paclitaxel [11], illustrated that Shikonin suppressed the proliferation of MCF-7 breast cancer cells by reducing the expression of tumor-promoting miR-128. Thakur et al. [12] discovered that Shikonin hindered breast cancer growth by decreasing the activity of STAT3, FAK, and SRC [13], demonstrated that Shikonin could enhance the immunogenicity of breast tumor cells through direct binding with nuclear protein A1. Despite its promising effects, the limited solubility and inadequate targeting *in vivo* have hindered its clinical application [14,15]. By utilizing a smart Drug Delivery System (DDS) may offer a solution to overcome the two obstacles.

Nanomicelles, composed of amphiphilic block copolymers, are a common Drug Delivery System (DDS) [16-19]. By considering the distinct tumor microenvironment characterized by higher temperature and lower pH, smart DDS with various stimulus responsiveness can be developed to selectively target tumor tissue. Additionally, by enhancing passive targeting, specific antibodies can be attached to the DDS to combine active and passive targeting, effectively minimizing the side effects of chemotherapy [20-23]. So, degradable polymers were synthesized to prepare temperature-sensitive nanomicelles with Shikonin embedded in the core. The decreased integrity of capillary endothelium at the tumor site results in larger cell gaps compared to normal tissue, allowing nanocarriers (100-600 nm) to penetrate through and exploit the enhanced permeability and retention effect (EPR effect) [24-26]. Furthermore, the restricted lymphatic reflux due to loss of lymphatic vessels facilitates accumulation at the tumor site [27-29]. Additionally, the nanomicelles can undergo a phase transition in response to slightly higher temperatures, increasing hydrophobicity and promoting endocytosis. Experimental results demonstrate a significant increase in cytotoxicity of the drug-loaded nanomicelles towards MCF-7 cells [30].

This study aimed to synthesize SDN with dual characteristics of temperature sensitivity and antibody targeting, and investigate its anti-cancer effects on breast cancer models through *in vitro* and *in vivo* experiments. Additionally, the study aimed to compare the toxic and side effects of SDN and traditional monomeric shikonin on animal tissues (Figure 1, 2).

Materials and Methods

Cell lines and materials

Human breast cancer cell lines (SKBR3, BT474) with high expression of Her-2 receptor were obtained from the Cell Bank of the Chinese Academy of Sciences in Shanghai. The cells were cultured



Figure 2: Schematic illustration of SDN targeting and entering cells.

in DMED supplemented with 10% fetal calf serum and incubated in 5% CO_2 at 37°C. Monomeric shikonin was purchased from Selleck company and resuspended in Dimethyl Sulfoxide (DMSO).

Preparation of FZ-PLGA-NPs

PNIPAM-block-PDPA-co-PDEAEMA copolymers were synthesized using PNIPAM140 as the macro-CTA. A solution containing PNIPAM (10 mM), V501 (2 mM), DPA (1.4 M), and DEAEMA (0.7 M) in 5 mL 1,4-dioxane was degassed through freezepump-thaw cycles three times. The polymerization took place in a pre-heated 75°C oil bath for 24 h. Following polymerization, the reaction mixture was cooled in an ice bath and then purified by dialysis against Milli-Q water using a membrane with a MWCO of 1,000 at room temperature for 18 h with regular water changes. The pure block copolymer (PNIPAM140-PDPA70-PDEA70) was obtained after lyophilization for 10 h. To prepare the Shikonin-DMAC solution, 1 ml of 99.0% $C_{16}H_{16}O_5$ (Lot: M1218AS) was added to 1 ml of DMAC solution to achieve a concentration of 1 mg/ml. Subsequently, 3 mg of NiPAM140-PDPA70-PDEA70 was added to 1.5 ml DMAC solution, resulting in a 2 mg/ml polymer-DMAC solution. A mixture of 300 μl of 1 mg/ml Shikonin-DMAC solution with 600 µl of 2 mg/ml polymer-DMAC solution was prepared, incubated for 2 h while protected from light, allowed to stand for 1 h and 30 min, and then dialyzed using a 1 kD membrane for 12 h. By following the aforementioned steps, blank polymer micelles and PCL-PEG-MAL drug-loaded polymer micelles were successfully prepared. 1 mg of BSA was added to 1 ml of PBS (pH=8), which already contained a 1

mg/ml BSA solution. Subsequently, 2.5 mg of 2-IT was added to 0.5 ml of PBS (pH=7), which was mixed with a 5 mg/ml 2-IT solution under light-protected conditions. Under a nitrogen atmosphere, a reaction mixture consisting of 1 ml of 1 mg/ml BSA solution, 50 µl of 100 mM EDTA, and 50 μ l of 5 mg/ml 2-IT solution was incubated for 2 h while being shielded from light. To prepare a dialysate, 100 ml of 20 \times PBS, 100 ml of 20 \times 100 mM EDTA, and 1,800 ml of doubledistilled water were combined to yield a final 5 mM EDTA PBS solution. The mercaptogenization of BSA was achieved by dialyzing in a 1 kD membrane for 30 min within the dialysate. The resulting PCL-PEG-MAL drug polymer micelles, along with thiocyanate BSA, were mixed in specific proportions and volumes for 12 h, followed by dialysis using a 30 kD membrane in double-distilled water to obtain polymer micelles attached to BSA. Furthermore, 50 µl of antibody was mixed with 950 μl of PBS at pH=8 to obtain a 1 mg/ml antibody solution, leading to the subsequent formation of SDN (Figures S1-S4).

A 0.25 M Tris-HCl buffer with a pH of 8.3 was prepared using dual vapor. A solution containing 12.116 mg of L-Cys (with a molecular weight of 121.16) was combined with 1 ml of formic acid, and then subjected to double vapor deposition to obtain a final volume of 100 mL, resulting in a 1 mM L-Cys standard solution. Additionally, 198.175 mg of DTNB (with a molecular weight of 396.35) was mixed with 50 ml of 50 mM Na_2HPO_4 buffer (pH=7.0) to create a 10 mM DTNB storage solution, which was shielded from light. The 10 mM DTNB storage solution was then diluted with 0.25 mM Tris-HCl at a ratio of 1:99 to produce a 0.1 mM DTNB analysis solution, which was also protected from light. Prior to analysis, a UV spectrophotometer was preheated for 30 min. Subsequently, 100 µl of 0.25 mM Tris-HCl was placed in a 1.5 ml EP tube for zeroing and as a spare. Following the mixing procedure outlined in Table 1, 100 µl of the solution from the 1.5 ml EP tube was transferred to a new tube. This was followed by adding 50 µl of mercaptogenized BSA to 50 µl of 0.25 M Tris-HCl, and 50 µl of mercaptogenic antibody to another 50 µl of 0.25 M Tris-HCl. In the four EP tubes prepared, 500 µl of the DTNB analysis solution was added, mixed thoroughly, and allowed to stand for 10 min. The spectrophotometer was then set to a wavelength of 412 nm, and a cuvette was prepared for analysis.

One (1) mg of Shikonin was added to 1 ml of acetonitrile to prepare a 1 mg/ml Shikonin-acetonitrile solution. Eight different concentrations of the Shikonin-acetonitrile solution were formulated as per Table 1, 2. The UV spectrophotometer was preheated for 30 min and set to a wavelength of 516 nm. The OD value of the various concentrations of the Shikonin-acetonitrile solution was determined, with each concentration being measured twice and the average taken. In OriginPro 7.0, the OD values were set against the concentration of puricain in the Shikonin-acetonitrile solution as the horizontal coordinate. Subsequently, a standard curve for the absorbance of Shikonin larin synthesis was generated.

Cell proliferation assay

Cells were seeded in the culture medium of a 96-well plate at a density of 4×10 cells/well. After 24 h, the cells were exposed to free shikonin, temperature-sensitive nanomicelle-encapsulated shikonin (unlinked HER-2 antibody, single-targeting, referred to as +BSA), and SDN. Treatments were applied at 24, 48, and 72-h time points. Cell viability was assessed using Cell Counting Kit-8, and absorbance was measured at 480 nm using an enzyme-linked immunosorbent assay instrument after 4 h of additional incubation and gentle plate

Table 1. BSA	mercantogenization	officiency	determination
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Colution	EP tube					
Solution	1	2	3	4	5	
L-Cy concentration/mM	0.03	0.1	0.1	0.2	0.2	
L-Cys standard liquid/µl	12.5	25	50	75	100	
Tris-HCl/µl	488	475	450	425	400	

Table 2: Determination of shikonin standard curve.

Solution	EP tube							
Solution	1	2	3	4	5	6	7	8
Original dose (µl)	100	80	60	40	20	10	5	2
Acetonitrile (µI)	900	920	940	960	980	990	995	998
Shikonin (µg/ml)	100	80	60	40	20	10	5	2

shaking.

Acute toxicity test

Healthy BALB/C mice were randomly divided into four groups, each containing 10 mice (Half and half male and female). Following preliminary experiments, the LD50 of monomeric shikonin was successfully determined to be 5.384 mg/kg. To assess the impact of nanomaterials encapsulating shikonin on drug safety, the experiment was divided into four groups: Control group, monomer shikonin group, SDN (low dose), and SDN (high dose). The mice in each group were administered tail vein injections of normal saline, 5.5 mg/ kg, and 9 mg/kg of shikonin, respectively. The concentrations were calculated based on the actual shikonin content. Subsequently, the mice were monitored for signs of poisoning and mortality within 24 h, while the main organs of the rats were examined for pathological changes using, HE staining.

Subcutaneous tumor model and immunohistochemical staining

Female BALB/c nude mice aged 6 weeks were obtained from Shanghai Jikai Biotechnology Co., Ltd. The experiment was conducted with four groups, each consisting of five mice (n=5): a control group, a monomeric shikonin group, a +BSA group, and an SDN group. Shikonin was administered at a dosage concentration of 2 mg/kg. BT-474 cells (2×10^6 cells/0.2 mL) were resuspended in PBS solution, then injected subcutaneously into the left hind limb of the nude mice. Drug treatment began when the subcutaneous tumor mass was palpable, administered through tail vein injection. After 15 days of continuous treatment, the mice were euthanized, and the tumors were excised and weighed. Tumor tissues were fixed in formalin, embedded in paraffin, and 5 µm thick tissue sections were prepared for ki-67 immunohistochemical analysis.

Statistical analysis

The significance of the data was assessed using a one-way ANOVA test, and statistical analysis was conducted using IBM SPSS Statistics 27.0 software. A p-value of less than 0.05 was considered statistically significant.

Results

PNIPAM-block-PDPA-Co-PDEAEMA was synthesized using NIPAM as the temperature-sensitive agent, DEAEMA as the synthetic monomer, and V-501 as the initiator, resulting in the production of a pure block copolymer (PNIPAM140-PDPA70-PDEA70). The micelle was self-contained through dialysis technology, with the





HER-2 antibody covalently attached to its surface, forming a dual targeting SDN encapsulated in a wobble-mein structure, as illustrated in Figure 3. The absorption value of BSA mercaptol after mercaptoglyphylation was determined to be 0.1715. By averaging this value and applying it to the linear formula, the concentration of sulfhydryl groups was found to be 0.102 mg/ml due to dilution. Given that the concentration of BSA is 1 mg/ml with a molecular weight of 68 kDa, the number of sulfhydryl groups per protein molecule was calculated to be 6.94. Similarly, the absorbance value of antibody sulfhydryl was measured to be 0.3505, leading to a thioglyphylation count of 33.39 per antibody molecule, as depicted in Figure S1.

Morphology of SDN

As depicted in Figure 4, the spherical SDN exhibited particle sizes of approximately 50 nm and 200 NMLS, as observed in electron microscope images. Utilizing dynamic light scattering, the polymer particles were measured to be 119 nm, showcasing a core-shell

 Table 3: Mice treatment groups and number of deaths in acute toxicity experiments.

Solution	Overall	Male deaths	Female deaths	Mortality rate
saline	10	0	0	0%
Shikonin (5.5 mg/kg)	10	2	4	60%
SDN(L) (5.5 mg/kg)	10	1	0	10%
SDN (H) (9 mg/kg)	10	1	1	20%

structure in a complete spherical form. Upon loading Shikonin and integrating PEG-b-PCL, BSA, or antibodies, the nanomicelles' sizes increased from 106 nm to 113 nm, 119 nm, 147 nm, and 127 nm, respectively. Post dialysis, the blank micelles in Figure 4 displayed a zeta potential of 33 mV, which decreased to 25 mV upon incorporation of PCL-PEG-mal, and further to 15 mV and -6 mV with the addition of BSA and antibodies, respectively. These alterations in size and zeta potential collectively indicate the successful modification of the



nanomicelles. BSA served as a model for serum proteins in circulation within the body. Figure 4 illustrates that when the SDN beam is mixed with BSA, two distinct peaks emerge, consistent with their individual diameters in separate solutions (Figure S5), affirming the stability of SDN and BSA post co-mixing.

EC and EE of SDN

The Shikonin-polymer solution consisted of 2.2 ml with a Shikonin concentration of 136.36 µg/ml and a polymer concentration of 545.45 µg/ml. The blank carrier had a volume of 2.45 ml and a concentration of 734.69 µg/ml. The OD value was measured at 0.08745 and entered into the standard curve equation, resulting in a Shikonin concentration of 6.26 µg/ml. Considering dilution, the calculated Shikonin concentration of SDN was 125.15 µg/ml. The drug delivery efficiency was calculated as 22.94% using the formula (125.15 µg/ml × 2.2 ml)/(0.6 ml × 2 mg/ml). The encapsulation rate, EE, was determined as 91.78% using the formula (125.15 µg/ml × 2.2 ml)/(300 µl × 1 mg/ml) × 100%.

Drug release behavior of SDN

As shown in Figure S6, the release of SDN *in vitro* at 42° C was relatively slow compared to 37° C, with the overall release rate being

higher. It was noted that both temperatures resulted in approximately 80% release at 80 h, reaching a stable state by 100 h.

Targeting ability of SDN

At the same temperature, the endocytosis of the SDN group was greater than that of the BSA group, suggesting that the presence of antibodies significantly increased the enrichment of the micelle surface (Figure S7).

Cytotoxicity of SDN in vitro

When the concentration of shikonin is below 10 ug/ml, cell toxicity increases as the shikonin concentration rises, as depicted in Figure S8A. The half inhibitory concentration in Figure S8B reveals that the half inhibitory concentration of shikonin is 5.4 μ g/ml. Figure 5A illustrates that following treatment with monomeric shikonin, +BSA, and SDN, cytotoxicity towards skbr-3 and bt-474 escalates over time. Concurrently, distinctions in cytotoxicity between +BSA and SDN gradually surface, with statistical analysis demonstrating a significant variance in toxicity between the BSA group and the SDN group after 24 and 48 h of treatment (P<0.05). Furthermore, the outcomes in Figure S9 suggest that SDN exhibits a more potent killing effect on cells with high HER-2 expression compared to HER-2-negative cells.



Additionally, in Figure 5B, the temperature sensitivity of the polymer is evident from the contrast in killing effects of BSA-linked micelles (+BSA) and SDN at 37°C and 42°C (P<0.001, respectively), with SDN demonstrating significantly higher killing efficacy than BSA-linked micelles (+BSA). Overall, these results indicate that SDN displays robust cell selectivity and temperature sensitivity, outperforming the +BSA group (Figure S10).

Security evaluation of SDN

An acute toxicity evaluation of nanomicelle-encapsulated shikonin (SDN) was conducted *via* tail vein injection and multiple administrations within 24 h to assess its safety. Results indicated that out of 10 mice injected with 5.5 mg/kg of monomeric shikonin, 6 died within 24 h (Table 3), whereas only 1 mouse died after injection of SDN (L) at the same concentration. Further increasing the SDN concentration revealed that at 9 mg/kg of shikonin wrapped in SDN, only 2 mice died. Histopathological analysis of the lungs, kidneys, liver, and heart of the mice showed varying degrees of damage in the organs of those treated with monomeric shikonin. Notably, a reduction in organ damage was observed in mice treated with different concentrations of SDN compared to the monomeric shikonin group. These findings suggest that the toxicity and side effects of shikonin are significantly reduced when coated with nanomaterials, highlighting the improved safety profile of SDN (Figure 6).

In vivo efficacy of SDN in mouse subcutaneous tumor model

To confirm the anti-cancer effect of SDN *in vivo*, BT-474 cells were used to establish subcutaneous tumors for experimentation (Figure 7A). When the subcutaneous tumor mass was palpable, a shikonin drug (monomeric shikonin/+BSA/SDN) was injected at a concentration of 2 mg/kg via the tail vein. Following continuous injections for 15 days, the tumor mass was excised and weighed. Results indicated that treatment with SDN and +BSA significantly inhibited tumor growth compared to the control group (Figure 7B), leading to a notable decrease in tumor weight. Furthermore, analysis of harvested tumor tissue using immunohistochemistry revealed a significant reduction in the proportion of ki-67-positive cells after

SDN treatment, consistent with the *in vitro* findings and tumor size. These results support the efficacy of SDN in suppressing tumor growth.

Discussion

Shikonin has excellent anti-tumor effect, but its slightly soluble in water greatly affects its anti-tumor effect. In our study, a high molecular support with good biocompatibility and temperature responsiveness was used to self-assemble and wrap shikonin in water by dialysis technology. The hydrophobicity of shikonin is ameliorated and the Her-2 antibody fragment is covalently linked to the surface of the carrier micelle, so that it has active targeting properties by this way. The prepared micelles were spherical in shape, with a complete core-shell structure, and the particle size was about 120 nm, which enable it enter the tumor tissue smoothly. We conducted in vitro studies on the prepared nanomicelles and used BSA to simulate the microenvironment in vivo. After mixing SDN with BSA, it could still maintain independent particle size peaks, which proved that SDN had good serum stability. We then explored the effects of various nanomicelles on breast cancer cells, and at the same concentration of shikonin, it was found that the dual-targeted micelles had the strongest killing effect on tumor cells in vitro.

At the same time, at different temperatures, there was no significant difference in the killing effect of shikonin monomer group on tumor cells *in vitro*. The killing effect of BSA group and SDN group at 42°C was significantly stronger than that at 37°C, because the temperature targeting property of the nanopolymer made it spontaneously gather near the cells and further inhibited cell proliferation when the local temperature increase. In addition, to verify the active targeting of the nanomicelles, we used SND to treat MCF-7 and Skbr-3 cells, respectively. The results showed that when the temperature was the same, the killing effect of SDN group and BSA group on McF-7 cells was not significantly different, while the killing effect of SDN group and BSA group on SKBR-3 cells was significantly different, and the difference was statistically significant. we also tested the toxic effect of blank nanomicelles nd low cytotoxic effect on cells and no cytotoxic effect



on breast cancer cells.

Afterwards, we examined the effect of the nanomicelles on the induction of programmed cell death. used flow cytometry to detect the effect of SDN on inducing apoptosis and necrosis of SKBR-3 cell. The results showed that the cell mortality of SDN group was significantly higher than that of BSA group and monomeric drug group, indicating that SDN could induce programmed death of SKBR-3 cells more effectively. At the same time, in order to verify the targeting effect of the antibody, we observed the endocytosis of cells by confocal microscopy. The results showed that the macromolecules linked by the antibody were more likely to aggregate in tumor cells, which improved the uptake of micelles by tumor cells.

Conclusion

We have successfully combined traditional Chinese medicine with modern technology by loading shikonin to the nanomicelle to

prepare SDN. It has both active and passive dual targeting effects originating from the temperature sensitivity and antibody specific interaction, which enable it to increases the selectivity and lethality of polymer micelles to tumors. Through characterization detection of SDN and *in vitro* experimental verification, it can be seen that the synthesized SDN has high drug loading and encapsulation rate, complete core-shell structure and spherical shape, and good stability in serum.

CCK8 cell proliferation experiments demonstrate that Shikoninloaded Dual targeting Nanomicelles (SDN) effectively inhibit the proliferation of Her-2 receptor-positive breast cancer cells, surpassing the efficacy of monomeric shikonin and single-targeting nanomicelles (BSA). The cytotoxicity at 42°C outweighs that at 37°C, indicating notable cell selectivity and temperature sensitivity. Findings from acute toxicity assessments reveal that SDN, at equivalent shikonin concentrations, exhibits greater safety compared to monomeric shikonin, leading to a significant reduction in organ toxicity and side effects. In an *in vivo* mouse subcutaneous tumor model, SDN demonstrates superior inhibition of BT-474 tumor growth relative to monomeric shikonin, as supported by immunohistochemical analyses. This study's innovation lies in the development of a dual-targeted nanodrug delivery system incorporating shikonin, addressing the biocompatibility, targeting efficiency, and water solubility limitations of conventional monomeric shikonin *in vivo*. By dual-targeting tumor cells, it enhances Shikonin's efficacy against HER-2 receptor-positive breast cancer cells while mitigating organ toxicity, thereby enhancing safety. Nonetheless, the study did not delve into the specific mechanism through which shikonin inhibits breast cancer cells. The implementation of a nano drug delivery system may facilitate broader clinical utilization of traditional Chinese medicine monomeric shikonin.

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